

## CLINICAL ETHICS

# Project Examining Effectiveness in Clinical Ethics (PEECE): phase 1—descriptive analysis of nine clinical ethics services

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**Objective:** The field of clinical ethics is relatively new and expanding. Best practices in clinical ethics against which one can benchmark performance have not been clearly articulated. The first step in developing benchmarks of clinical ethics services is to identify and understand current practices.

**Design and setting:** Using a retrospective case study approach, the structure, activities, and resources of nine clinical ethics services in a large metropolitan centre are described, compared, and contrasted.

**Results:** The data yielded a unique and detailed account of the nature and scope of clinical ethics services across a spectrum of facilities. General themes emerged in four areas—variability, visibility, accountability, and complexity. There was a high degree of variability in the structures, activities, and resources across the clinical ethics services. Increasing visibility was identified as a significant challenge within organisations and externally. Although each service had a formal system for maintaining accountability and measuring performance, differences in the type, frequency, and content of reporting impacted service delivery. One of the most salient findings was the complexity inherent in the provision of clinical ethics services, which requires of clinical ethicists a broad and varied skill set and knowledge base. Benchmarks including the average number of consults/ethicist per year and the hospital beds/ethicist ratio are presented.

**Conclusion:** The findings will be of interest to clinical ethicists locally, nationally, and internationally as they provide a preliminary framework from which further benchmarking measures and best practices in clinical ethics can be identified, developed, and evaluated.

Clinical ethics is a relatively new, evolving, and expanding field of practice within healthcare settings across North America and beyond. In the clinical ethics literature, several definitions are presented with none being predominant. Similarly, there is not a clear understanding of the nature and scope of clinical ethics services. Sulmasy defines clinical ethics as “the systematic, critical, reasoned evaluation and justification of right and wrong, good and evil in clinical practice, and the study of the kinds of persons healthcare professionals ought or ought not strive to become”.<sup>1</sup> In his view, the focus of clinical ethics is “the encounter between the healthcare professional and the patient”.<sup>1</sup> Although helpful in situating clinical ethics within healthcare provider/patient relationships, this theoretical definition provides limited information as to how clinical ethics services are enacted.

Fletcher and Siegler, after reconciling their two very different approaches to clinical ethics (the former viewing the role of the clinical ethicist as primarily that of educator; the latter viewing the role as one with direct involvement in decision making) provide a list of goals for clinical ethics.<sup>2</sup> These include:

- maximising benefit and minimising harm to patients, families, healthcare professionals, and institutions
- facilitating resolution of conflict
- informing institutional efforts at policy development, quality improvement, and appropriate utilisation of resources
- assisting individuals in handling current and future ethical problems.

They suggest that these goals are accomplished through consultation, conflict resolution, and educational processes.

Again, however, there is little known about how these approaches are realised in practice and whether or not they are effective in achieving the goals for clinical ethics set forth by Fletcher and Siegler.

The structure, activities, resources, and effectiveness of clinical ethics committees that provide consultation services have been previously described in some detail.<sup>3–10</sup> However, there is limited empirically based information in the literature concerning the structure, activities, resources, and effectiveness of clinical ethics services or programmes that utilise a “lone” ethics consultant model of service delivery.<sup>6, 10, 11</sup> This particular model dominates the clinical ethics landscape in several Canadian geographical areas, including the locations of this study. In a lone ethics consultant model, accountability and responsibility for the delivery of clinical ethics service generally falls upon a single individual(s) who has been hired by the facility as a clinical ethicist. A clinical ethicist rather than a team of ethics committee members carries out consultations. Knowledge about the structure, activities, resources, and effectiveness of the lone ethics consultant model of service delivery could contribute to the development of evidence based best practices and benchmarking measures for clinical ethics services. In future studies, it may be possible to compare this lone ethics consultant model of care delivery to an ethics committee model. Ultimately, the adoption of best practices could lead to an enhanced moral climate and improved delivery of ethical care within healthcare settings.

A group of investigators at the Joint Centre for Bioethics (JCB) at the University of Toronto, Canada, recognising the potential value of obtaining further knowledge and understanding about the nature and effectiveness of clinical ethics services utilising this delivery model, designed a study

**Table 1** Description of settings

Site	Focus of hospital services and activities*	Location (no of sites)	No of beds	No of intensive care beds
1	Geriatric Jewish faith based	6	992 (300 hospital, 472 long term, 220 supportive housing units)	0 ICU; 6 concentrated care
2	Mental health and addiction Community based health promotion and prevention	4	546	0 ICU; 14 psychiatric intensive care
3	Paediatric	1	389	36 critical care; 38 neonatal ICU
4	Women and infant care, surgery, oncology Jewish faith based	3	462	14 ICU; 34 neonatal ICU
5	Trauma and inner city care Catholic faith based	1	550	60 ICU
6	Trauma, women's health, cardiology, oncology, musculoskeletal care	3	980	75 ICU
7	Rehabilitation	5	540 (300 complex continuing care, 240 rehabilitation)	0 ICU
8	Oncology, blood disorders, cardiovascular, transplantation, neurology, community health	3	873	49 ICU
9	Community outreach	1	372	16 coronary/medical ICU; 10 ICU

\*All sites identified patient care, teaching, and research as primary areas of focus.  
ICU, intensive care unit.

entitled the Project Examining Effectiveness in Clinical Ethics (PEECE). Using a retrospective case study approach, one of the primary objectives of the first phase of PEECE is to compare and contrast, in a comprehensive fashion, the various clinical ethics services currently being provided at hospitals affiliated to the University of Toronto. Although a lone ethics consultant model was predominant across all the sites, anecdotally it was known that there were significant variations in the structures, activities, and resources of the clinical ethics services. However, the extent and nature of those differences had not been empirically examined. Phase 1 of the study describes, compares, contrasts, and in some instances, quantifies those differences. The project was conceived with a long term vision of developing a set of benchmarking measures for use in clinical ethics. The benchmarking measures would have applicability in local, national, and international settings. In addition to deepening our understanding of the nature and scope of this delivery model of clinical ethics services, findings from phase 1 informed the development of the interview guide used in phase 2 of PEECE. In phase 2, interviews and focus groups are being held with administrators, ethics committee members, healthcare professionals, family members and patients, as well as clinical ethicists to seek an understanding of their definition of clinical ethics, perception of indicators of effectiveness, and previous experiences with the clinical ethics service in their facility. Findings from phase 2 of PEECE will be presented in subsequent publications.

## STUDY SITE

The nine hospital sites that participated in the study included Baycrest Centre for Geriatric Care, Centre for Addiction and Mental Health, the Hospital for Sick Children, Mount Sinai Hospital, Centre for Clinical Ethics—St Michael's Hospital, Sunnybrook and Women's College Health Sciences Centre, Toronto Rehabilitation Institute, University Health Network, and Toronto East General Hospital. All these hospitals are partners of the Joint Centre for Bioethics, University of Toronto, Canada.

## METHOD

From April through December 2003, data to depict the structure, activities, and resources of the clinical ethics services as they existed in June 2003 were collected from the following sources: clinical ethics service reports, committee terms of reference, minutes of committee meetings, and job descriptions. Public documents available through hospital

websites and public relations/media departments were also accessed. This descriptive snapshot does not reflect expansions or developments made to enhance ethics programmes at the various sites subsequent to June 2003 (see postscript). Two of the investigators were responsible for collecting and extracting the data, which were entered into grids with column headings that captured the three primary areas of interest: structure, activities, and resources. The grids were developed in collaboration with the PEECE group which is comprised of all of the clinical ethicists working across the nine sites included in the study. Clinical ethicists at each site were asked to review the completed grids for their respective service and to make revisions or additions as needed. From the text that was gathered, content analysis was independently carried out by two of the investigators. Findings were compared and any differences of opinion resolved. Through reading and reflecting upon the data, general themes were identified by two of the investigators. These were presented to the other investigators for discussion and validation.

## FINDINGS

The findings are reported under the following headings: structure, activities, and resources. In the section on structure, a description of the hospital settings in which clinical ethics services are situated is provided. Also included in this section is an overview of each clinical ethics service and its accountability structures. In the activities section, detailed information about programme components and services is outlined. Finally, human, material, and financial resources are identified in the section on resources. Tables with supplementary data accompany the text for each section.

### Structure

All the hospital settings in which the clinical ethics services are located identify three primary areas of focus: patient care, teaching, and research (table 1). Four hospitals serve specific patient populations, including the elderly, persons with mental illness, children, and those with rehabilitation needs. The other five hospitals serve patients with a variety of acute and chronic care needs. Each hospital specialises in a number of areas (for example, transplantation, oncology, trauma). Three hospitals are historically linked with faith based communities and value systems. Six of the nine hospitals are spread geographically across multiple sites, often as a result of organisational mergers. On average, each clinical ethics programme provides services at three

**Table 2** Programme overview

Site	Mission and duration	No of clinical ethicists	Credentials and background	Areas of expertise	Role
1	We strive to maintain the highest standard of professional ethics and competence in an interdisciplinary team based approach 8 years	1 part-time (volunteer)	MD MSc FRCP Medicine	Geriatrics, long term care, end of life, Jewish medical ethics	Independent and small group consultation models
2	No mission statement 6 years	1 full-time	MA JD Law	Psychiatric and addiction ethics, research ethics, conflict of interest in research, ethics consultation, neuroethics	Independent consultation model
3	Is committed to working with our colleagues in other departments to provide excellence in the compassionate care of children and their families. ... 12 years	1 full-time; 1 part-time (0.8 FTE) who is also director of the service	BA MA PhD BA LLB LLM PhD Philosophy and law	Children and youth, end of life, ethics committees, complementary and alternative medicine, research ethics, interface between law and ethics, accountability	Independent consultation model
4	To continuously improve ethical dimensions of patient care at MSH through consultation, education, and research 6 years	1 full-time	BA BSW MSW PhD Social work	Conflict resolution, end of life, culture	Independent consultation model
5	To enable members of the healthcare community to identify and resolve ethical issues which arise in the clinical setting 21 years	2 full-time (one of whom is director of service), 1 full-time contract; 1 part-time (0.6 FTE)	BA MA LTh PhD ThD BTh MTh MPhil LTh PhD ThD BSN MN PhD BSN MDiv DMin (c) Theology, philosophy, and nursing	Consent and capacity, end of life, palliative care, long term care, trauma, organisational ethics, cultural/religious diversity, mental health, conflict resolution, geriatric care	Independent consultation model
6	No mission statement 15 years	2 part-time (one of whom is director of service), 1 part-time contract (0.2 FTEs each)	MD PhD CCPC FCPC BSc MD MHS: FRCP(c) PhD Medicine and philosophy	End of life, medical error, resource allocation, medical education	Independent consultation model
7	To lead a world class bioethics programme and to pursue an international profile in rehabilitation and continuing care ethics 5 years	1 full-time; 1 senior clinical ethics fellow	BA MA PhD BN MA Philosophy and nursing	Capacity and consent, rehabilitation and continuing care, mental health	Independent consultation model
8	To continuously improve management of ethical dimensions of patient care faced by healthcare professionals, patients, and family members 5 years (position vacant for 1 year)	1 full-time	MHS: MSW RSW Social work	Transplantation, end of life, cultural issues, patient safety, truth telling	Independent consultation model
9	No mission statement 1 year	1 full-time	RN BA MHS Nursing	End of life, resource allocation, priority setting, doctor-patient relationships	Independent consultation model

FTE, full-time equivalent.

different locations. The size of the facilities ranges from 372 to 992 beds (mean = 634) including between zero and 75 intensive care beds (mean = 39).

The clinical ethics services included in this study were established between one and 21 years ago (table 2). Six of the clinical ethics services have existing formal mission statements for their departments. At the time of study, the full-time equivalent (FTE) of clinical ethics positions across clinical ethics services ranged from zero to 3.6 FTEs. (The clinical ethics service with 3.6 FTEs also provides clinical ethics services to three other facilities that were not included in this study. At the site included in the study there was approximately 1 FTE coverage.) The academic and professional backgrounds of the clinical ethicists include medicine, law, philosophy, social work, theology, and nursing. Most had completed doctoral level education; the minimum preparation was a master's degree in bioethics or philosophy.

The clinical ethicists report a variety of areas of expertise including end of life, culture and religion, mental health, continuing care, consent and capacity, geriatrics, conflict resolution, resource allocation, research ethics, children, neuroethics, ethics committees, complementary and alternative medicine, law and ethics, trauma, medical error, organisational ethics, medical education, transplantation, patient safety, doctor-patient relationships, and truth telling. In all but one of the clinical ethics services, the role of the clinical ethicist is predominantly that of an independent consultant (that is, response to a consult is by an individual clinical ethicist rather than by a multidisciplinary team made up of ethics committee members or the ethics committee as a whole).

Four of the clinical ethics services report directly to the hospital's chief executive officer (table 3). Four report either to a director or vice president within the hospital. One clinical ethics service does not have a formal reporting structure. In the three sites with more than one clinical ethicist, the clinical ethicists report to the director of the ethics centre, and the director of the centre reports to senior management. There is no reference to clinical ethics services in the organisational charts of three hospitals. Six of the nine clinical ethics services prepare written reports ranging in frequency from quarterly to biannually. Three clinical ethics services have completed a strategic planning process and an additional three services are currently conducting or about to engage in a strategic planning process. Formal evaluation processes are also in place in six of the nine sites. These evaluation processes include performance evaluations at five sites, annual review and goal setting at five sites, and evaluative feedback about educational activities at three sites. Performance evaluations generally include self-evaluations and evaluations by the person to whom the clinical ethicist reports. Annual review and goal setting are carried out by the clinical ethicists, in consultation with key stakeholders. Individuals who attend the educational activities provide feedback about the sessions.

**Table 3** Accountability structures

Site	Reporting structure	Location on organisational chart	Written reports	Strategic plan	Evaluation processes
1	None	Not located	Periodic verbal reports	None	None
2	CEO and executive VP, policy and planning	Direct line to executive VP, policy and planning	None	Under development	None
3	Executive VP and COO	Direct line to executive VP and COO	Biannual report	Completed in conjunction with hospital strategic planning process	Performance evaluation, annual review, goal setting, and education
4	CEO	Under associate VP of planning and program development; Ethics Committee and Research Ethics Board direct line to Medical Advisory Council Committee	Annual summary	None	Performance evaluation
5	CEO	Direct line to President and CEO	Quarterly reports	None	Performance evaluation, annual review, goal setting, and education
6	Director, quality and risk management	Not located	None	Under development	None
7	VP, professional practice	Under VP, professional practice and CNO	Biannual report	Under development	Annual review, goal setting, and education
8	Medical director	Not located	Annual report	Yes	Annual review, goal setting, and performance evaluation
9	President and CEO	Direct line to President and CEO	None	Programme proposal based on needs assessment and strategic plan of hospital	Performance evaluation, annual review, goal setting, and education

CEO, chief executive officer; COO, chief operating officer; CNO, chief nursing officer; VP, vice president.

### Activities

Multidisciplinary health ethics committees that focus their attention primarily on advisory, education, and policy issues exist in six of the nine sites and all are chaired or co-chaired by a clinical ethicist (table 4). Only one of these committees engages in the process of ethics consultations and it does so infrequently. Committee members are generally volunteers from a variety of disciplines and often include a community member. Most members have limited ethics knowledge and skills, and thus self-education is one of the committee's primary activities. Every site has a research ethics committee and on all but one a clinical ethicist from the local service sits as a member. The number of other on-site committees with representation from the clinical ethics service varies from zero to 32; the average number of committee memberships (not including health ethics and research ethics committees) per ethicist is just over 10. Policy development and review is a key function for a number of these committees. All clinical ethicists are involved in ethics education both for staff and

students; most also participate in community based educational activities. Ethics education includes a wide range of activities from teaching university level courses to graduate and undergraduate healthcare students, to leading small group tutorial sessions, to hospital-wide ethics grand rounds, to unit based in-services, as well as community speaking engagements to local organisations and groups on a wide variety of topics (for example, Advance Care Planning for Senior Citizens Group). The number of ethics consultations ranges from four per year to approximately 250 per year depending on the site. Statistics on the actual number of consults on particular issues are not available. The range of issues for which consultation is sought, however, is reported as far-reaching. Many of the consultations involve ethical issues at the beginning and end of life. Other consultations focus on organisational ethics issues (for example, resource allocation and priority setting), "everyday" ethics issues (for example, privacy, truth telling, confidentiality, sexuality), and relational ethics issues (for example, communication,

**Table 4** Programme components and services

Site	Health ethics committee and ethicist's role	Research ethics committee and ethicist's role	Other committees	Education	Consults	Scholarly activity
1	Yes, chair	Yes, not a member	None	Staff, students, community	Internal (4/year)	Publications, presentations
2	3 committees; chair 1, member 2	Yes, member	8; member/advisor	Staff, students, community	Internal and occasional external (~132/year)	Research, publications, presentations
3	No	Yes, member	32; member of 30, chair of 2	Staff, students, community	Internal and external (number unknown)	Research, publications, presentations
4	Yes, co-chair	Yes, member	1; member	Staff, students, community	Internal and external (~250/year)	Publications, presentations
5	Yes, chair	Yes, member	12; member	Staff, students, community	Internal and external (~250/year)	Research, publications, presentations
6	Yes, chair	Yes, chair	None	Staff, students	Internal (~80/year)	Publications, presentations
7	Yes, chair	2 committees, member of both	18; member of 10, consultant member of 6, co-chair of 1, chair of 1	Staff, students	Internal and external (~130/year)	Research, publications, presentations
8	No	3 committees, member	7; member of 6, chair of 1	Staff, students, community	Internal and external (~75/year)	Research, publications, presentations
9	No (planned for September 2004)	Yes, member	7; member of 7	Staff, students	Internal and external (~250/year)	Publications, presentations

**Table 5** Potential caseload of ethicists

Site	No of beds per ethicist	No of ICU* beds per ethicist	No of outpatient visits per year per ethicist†	No of emergency room visits per year per ethicist‡
1§	(992)	(6)	(214 000)	No ER
2	546	14	519 000	3600
3	216	41	177 000	26 900
4	462	48	635 000	37 000
5	550	60	503 000	55 600
6	2450	188	1 375 000	102 800
7	540	No ICU beds	113 000	No ER
8	873	49	876 000	73 300
9	372	26	194 000	61 800
Average¶	778	48	511 778	40 111
Average**	751	53	549 000	45 125
Average††	508	34	431 000	36 886

\*ICU beds include other types of special care beds as described in table 1.

†Outpatient visits are rounded to the nearest thousand. Outpatient visits include community and programme visits but do not include day surgeries.

‡Number of emergency room visits is rounded to the nearest hundred.

§At the time the study was conducted site 1 did not have a paid ethicist. The numbers reported in parentheses are the actual number of beds, ICU beds and outpatient visits at the site.

¶Average calculated including all sites.

\*\*Average calculated including all sites, except site 1 (no paid ethicist).

††Average calculated including all sites, except site 1 (no paid ethicist) and site 6 (outlier).

teamwork, boundary setting). Most sites conduct both internal and external ethics consultations. The average number of consults per ethicist per year (excluding the site with no paid ethicist which is an outlier with only four consults per year and the site for which these data were not available) is 184. Explicit information about the consultation process itself was not found in the data. However, through the investigators' observations and experiences, it is known that the process may vary from one consult to the next and that different clinical ethicists approach consultations in various ways. Some are directly engaged with the staff, patients, and families involved and take a leadership role in the decision making process; others play a more consultative role and remain largely in the background. The consultation process will be explored in phase 2 of the study. Every clinical ethicist is engaged in scholarly activities of publishing and presenting on ethics related matters and each is a coinvestigator in PEECE. Development of a programme of research is an integral part of the role of clinical ethicists at five of the nine sites.

## Resources

Table 5 presents the characteristics of the potential caseload per ethicist across the various sites. The paid ethicist/inpatient hospital bed ratio ranges from 1 ethicist for every

216 beds to 1 ethicist for every 2450 beds. The average number of hospital beds per ethicist including all nine sites is approximately 778. The ethicist/intensive care unit (ICU) or special care bed ratio ranges from 1 ethicist for every 14 beds to 1 ethicist for every 188 beds, with an average of approximately 48 beds. Averages have been calculated in the following two additional ways: (1) excluding site 1, as there was no paid ethicist at this site at the time of the study; and (2) excluding site 1 (for the reason identified above) and site 6, as this site appeared to be an outlier on each of the variables examined. Clinical ethicists are also involved with outpatients and each site has a number of outpatient clinics and programmes. The paid ethicist per number of outpatient visits per year ranges from 1 ethicist for every 113 000 outpatient visits to 1 ethicist for every 1 375 000 outpatient visits. The average number of outpatient visits per year per ethicist including all nine sites is 511 778. Ethicists are also called upon to assist with cases in the emergency department. The paid ethicist/emergency room visits per year ratio ranges from 1 per 3 600 to 1 per 102 800 with the average number of emergency room visits per year per ethicist across all sites being 40 111.

Administrative support differs significantly across sites with four reporting no administrative support for their clinical ethics service (table 6). All sites report having

**Table 6** Other resources

Site	Administrative support	Office space	Library resources	Computer resources
1	Administration secretary provides support for clinical ethics committee	No dedicated space; uses office for VP Medicine; adequate	On-site; online to University of Toronto	Adequate
2	0.5 FTE administration support; additional support for committee work	Two offices; carrel available for administration staff; adequate but not physically proximate	On-site; online to University of Toronto	Adequate
3	1 full-time administration assistant	Four offices; adequate	Ethics department library; on-site; online to University of Toronto	Need upgrading
4	No administration support	Two offices; adequate	On-site; online to University of Toronto	Need upgrading
5	Part-time secretary	Four offices; adequate	Ethics department library; on-site; online to University of Toronto	Need upgrading
6	0.25 FTE administration assistant	Two offices; adequate	On-site; online to University of Toronto	Adequate
7	No administration support; currently under negotiation	Two offices; adequate	On-site; online to University of Toronto	Adequate
8	No administration support	One office, size good but location isolated	On-site; online to University of Toronto	Adequate
9	Part-time administration assistant	One office, adequate	On-site	Adequate

FTE, full-time equivalent.



adequate office space to carry out their activities, but two express concerns that their location is geographically remote from the clinical activities of the hospital. Five of the nine clinical ethics services have offices in more than one location. All have library resources readily available in hospital, as well as online access to the University of Toronto. Two of the services maintain a library with ethics related resources within their departments. All report having adequate computer resources available with three indicating a need to upgrade. Budget information was not available for a number of sites and thus is not included in this report.

## DISCUSSION

The data reported above provide a unique and detailed account of the structure, activities, and resources of clinical ethics services at nine hospital partners of the University of Toronto Joint Centre for Bioethics in Toronto, Canada. Through textual analysis of these data, four general themes emerged—variability, visibility, accountability, and complexity. Each of these themes will be addressed in turn.

### Variability

Unlike many of the clinical ethics services in the USA and other parts of the world which utilise a multidisciplinary ethics committee or subcommittee approach to ethics consultation,<sup>1 12</sup> most of the clinical ethicists in this study engaged primarily in the practice of independent consultation. Despite a singular model of service delivery (that is, a lone ethicist model), a high degree of variability was found across the clinical ethics services investigated in this study. Other studies examining clinical ethics services have also reported a great deal of variability from one service to another.<sup>1 3 7 12–14</sup> The clinical ethics services in this study demonstrated variability in terms of programme components and distribution of services (including the number of ethics consults); the role and background of the clinical ethicist and the clinical ethics service; the human, material, and financial resources; and reporting structures. The potential case load for ethicists at the various sites based on an analysis of the number of hospital beds, number of ICU beds, number of outpatient visits per year, and annual number of emergency room visits was remarkably different from site to site. The patient populations also differed significantly in terms of age, nature and chronicity of diseases, and acuity of care. A thematic analysis of the mission statements revealed different foci across the clinical ethics services. One of the following three themes was generally predominant in the mission statements: capacity building; recognition as a leader or innovator; or enhanced patient care. The differing levels of resources available to clinical ethics services found in this study reflect similar findings from other studies.<sup>7</sup> Inclusion of the financial budget allocations for the various clinical ethics programmes would have furthered this comparison of resources. The variability across services may reflect the differing needs of the organisations, some other determinant such as the particular strengths and skills of the clinical ethicist, or it may be spurious. In phase 2 of this study, through interviews and focus groups with stakeholders, the notion of variability and fit with organisational needs will be explored.

### Visibility

It became apparent early in the data collection process that the various clinical ethics services have differing degrees of visibility both internally (within their respective organisations) and externally (to the general public). For example, a search of hospital websites for information about their clinical ethics services revealed little information about either the services provided by clinical ethicists or how and

when to access these services. As the internet increasingly becomes a first line source of information for many individuals, the limited exposure on websites may be impacting programme accessibility, particularly for patients and family members.

Although there are conflicting views as to its legitimacy as a marker of effectiveness, the number of consultations has been used as a proxy measure of visibility and accessibility of clinical ethics services.<sup>3 15</sup> In the participating sites in this study, the number of consultations varies widely. When the number of consultations was examined in relation to a variety of characteristics of the clinical ethics service and of the clinical ethicists themselves, no relational trends were observed with any of the following: number of ethicists, disciplinary background of ethicists, other activities of the ethicist (committee involvement, research ethics, scholarly activity), number of hospital beds, type of hospital, administrative support, focus identified in mission statement, or years in operation. In the documentation that was reviewed, the challenges to increasing visibility were expressed frequently and strategies to enhance visibility (and accessibility) discussed. A number of sites are in the process of implementing a hub and spoke model as a strategy for increasing visibility, accessibility, and sustainability.<sup>16</sup> In the hub and spoke model, ethics opinion leaders are identified and integrated into the ethics service. The opinion leaders are predominantly clinicians who are visible and well respected in their clinical areas.

### Accountability

Several authors have argued that clinical ethics services should have sustainable, effective, and clearly identifiable accountability structures in place.<sup>3 12 17</sup> Most of the clinical ethics services in this study have some sort of formal reporting structure in place, but there are significant differences in the type and frequency of reporting and in the focus of the information reported (for example, performance evaluation, annual review and goal setting, evaluative feedback about educational activities). With the exception of one site, each clinical ethics service has a formal reporting structure, with most reporting to senior management. The reporting structures for three of the clinical ethics services, however, did not appear on the hospital's organisational chart.

Depending on the nature of the reporting relationship, at some sites clinical ethicists have considerable independence and freedom to develop the clinical ethics service as they see fit; at other sites many activities of the clinical ethics service are mandated by senior management. Although it has been suggested in the literature that it is preferable to have clinical ethics services report to senior management<sup>3</sup> and that an accountability structure is necessary to identify and investigate error in clinical ethics practice,<sup>17</sup> the impact of differing accountability structures (or none at all) on overall effectiveness of clinical ethics services has not been adequately studied. Evidence of specific procedures for addressing errors in clinical ethics practice was not found in the present study and this represents another area for further exploration. Strategic plans generate the direction for the daily activities of a particular service or agency<sup>18</sup> and like mission statements provide a guide for measuring accountability.<sup>17</sup> Given that six sites in this study do not have written strategic plans with clearly identified goals and objectives in place, the effectiveness of these clinical ethics services in meeting organisational goals and objectives is difficult to ascertain. In phase 2, the relation between effectiveness and accountability structures will be explored from the perspectives of a number of key stakeholders.

## Complexity

One of the most salient findings is the complexity inherent in the provision of clinical ethics services. Within the purview of the clinical ethics services in this study, clinical ethicists have multiple roles and responsibilities (for example, consultant, policy maker, educator, mediator, researcher) requiring a broad and varied skill set and knowledge base. This includes: a working knowledge of ethics theory/literature, the health-care system, health law, and medical terminology; exemplary communication, mediation, and interpersonal skills; and excellent assessment and analytic skills.<sup>19 20</sup> In performing these roles, clinical ethicists are generally required to exhibit traits of "good character" (for example, wisdom, impartiality, fairness, honesty, humility).<sup>19</sup> Many of the clinical ethicists interface with all levels of the organisation from patients, family, and staff at the bedside to senior management and government officials in the boardroom. As the clients or recipients of clinical ethics services are multiple, clinical ethicists are forced to balance competing individual, institutional, and societal needs and interests.

The fact that many of the clinical ethics programmes provide services to a number of geographically distant sites adds another layer of complexity and engages clinical ethicists in ongoing prioritising of needs across sites. In addition to their clinical responsibilities, many of the clinical ethicists in this study are actively involved in educational and scholarly activities which include staff education, teaching graduate and undergraduate university courses, conducting research, publishing manuscripts in scientific journals, and presenting papers at scholarly conferences.

## Limitations of the study

As data were primarily gathered retrospectively from existing sources, information in all categories was not available across all sites. Additionally, there were differences across sites in terms of the ways in which information was reported making direct comparisons difficult in some categories (for example, variability in reporting periods, programme statistics). Generalisability of the findings to other settings may be limited as the sample was one of convenience and all nine clinical ethics services were situated in large, urban, university-affiliated teaching hospitals in Canada. An additional bias may have been introduced as the clinical ethicist participants are also coinvestigators in the study. We employed two strategies to minimise this potential bias: having a principal investigator who was not a clinical ethicist lead the study; and corroborating data provided by the clinical ethicists with other available sources of documentation whenever possible.

## CONCLUSIONS

This comprehensive description of clinical ethics services across nine sites reflects the concepts of variability, visibility, accountability, and complexity that were evident across and within these clinical ethics services in relation to their structure, activities, and resources. Other clinical ethics services may find the grids developed for this study to be a useful benchmarking tool for collecting and analysing data about their own programmes. Benchmarks including the average number of consults per ethicist per year and the hospital beds/ethicist ratio provide useful programme planning and human resource information for hospital administration and clinical ethics services alike. As the field of clinical ethics continues to grow and gain prominence, the need to attain knowledge about benchmarks, best practices, and measures of effectiveness becomes increasingly significant. An indepth understanding of what clinical ethics services currently look like across a broad variety of hospital

settings, as described in this paper, is a necessary first step toward realising this knowledge.

## POSTSCRIPT

Since the collection of these data that describe the status of clinical ethics services in June 2003, clinical ethics services have continued to evolve across the nine participating hospital sites. Among the most significant changes are those that occurred in the area of human resources. In September 2003, Sunnybrook and Women's College Health Sciences Centre hired a full-time clinical ethicist, and in March 2004 they increased the amount of part-time administrative support for clinical ethics services from one to three days per week. In August 2003, the Centre for Clinical Ethics—St Michael's Hospital, supplemented their complement of staff with an additional full-time permanent clinical ethicist position. In July 2003, Baycrest Centre for Geriatric Care hired a part-time clinical ethicist with plans to expand this role to one full-time equivalent divided between two individuals (a 0.8 FTE position and a 0.2 FTE position) in 2004. These significant enhancements in human resources reflect a growing awareness and recognition of the role and need for clinical ethics services by administrators at these member hospitals.

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